



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP-2151
JFW

In re application of: **Jones et al.**

Serial No.: **09/888,472**

Filed: **June 25, 2001**

For: **Method and Apparatus to
Encourage Client into a Distributed
Peer to Peer Sharing Technology**

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Group Art Unit: **2151**

Examiner: **Follansbee, John A.**

Attorney Docket No.: **AUS920010403US1**

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 2, 2004.

By:

Dell Whitton
Dell Whitton

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Copy of International Search Report;
- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

No fees are believed to be required. If, however, any additional fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(e)(1)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97(e)(1).

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which

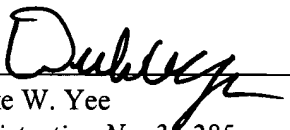
may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits.

Also, each item of information cited in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date: September 2, 2004


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Form PTO-1449

ATTORNEY DOCKET NO.

AUS920010403US1

SERIAL NO.

09/888,472

LIST OF PRIOR ART CITED
BY APPLICANT

(Use several sheets if necessary)

APPLICANT Jones et al.

FILING DATE June 25, 2001

GROUP ART UNIT 2151

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
	AA	EP 0899916 A2	Mar. 3, 1999	Europe	H04L 12/56		X
	AB	2000-112858	Apr. 21, 2000	Japan	G06F 13/00		X

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

	AC	Cocchi et al., "Pricing in Computer Networks: Motivation, Formulation, and Example", XP-000430132, IEEE/ACM Transactions on Networking, Dec. 1, 1993, Vol. 1, No. 6, New York, pp. 614-627.

RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.